

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : March 22, 2004  
Kyle G. Brown, et al : IBM Corporation  
Filing Date: : Dept. T81/Bldg. 503  
Serial No. Not Assigned Yet : P.O. Box 12195  
For: Load Balancing Mechanism for Publish/Subscribe : Research Triangle Park,  
Broker Messaging System : North Carolina 27709  
: Art Unit:

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

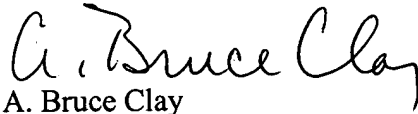
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in cursive script that reads "A. Bruce Clay".

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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No. \_\_\_\_\_

Applicant: Brown, et al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. RSW920040006US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____	AA 2004/0002988	01/2004	Seshadri et al.	707	102	
_____	AB 2003/0229674	12/2003	Cabrera et al.	709	207	
_____	AC 2003/0227894	12/2003	Wang et al.	370	338	
_____	AD 2003/0212818	11/2003	Klein et al.	709	238	
_____	AE 6,643,682	11/2003	Todd et al.	709	202	
_____	AF 6,611,684	08/2003	Franks	455	433	
_____	AG 2003/0120720	06/2003	Montero	709	203	
_____	AH 6,556,820	04/2003	Le et al.	455	411	
_____	AI 2003/0041101	02/2003	Hansche et al.	709	203	
_____	AJ 2002/0169700	11/2002	Huffman et al.	705	35	
_____	AK 2002/0146008	10/2002	Kaplan	370	390	
_____	AL 6,334,151	12/2001	Bolan et al.	709	225	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____						

OTHER ART (Including Author, Title,

Date, Pertinent Pages, Etc.)

Examiner  
Initial

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.